Chapter 5 Matchings and Independent Sets

§ 5.1 Matchings

- **<u>Def</u>: G: nonempty and loopless graph**
 - $M \neq \phi \subseteq E(G)$ is called a matching in G iff ∀ $e_1, e_2, \in M, e_1, e_2$ are not adjacent in G.
 - $\forall e \in M$, if e = (x, y), x, y are said to be matched under M.
 - $\forall x \in V(G)$, if $\exists e \in M$ s.t. e = (x, y), then x is *M*-saturated, or say *M* saturates x; otherwise, x is *M*-unsaturated.
 - A matching *M* is perfect if it saturates every vertices of *G*.

(b)

- *M* is maximum if \forall matching *M*′ in *G*, $|M| \ge |M'|$
- Note: Only discuss undirected graph.
- **ex:** (a)





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- <u>Theorem 5.1</u>: (Hall's theorem) Let G = (X, Y, E) be a bipartite graph. Then G contains a matching M that $|M| = |X| \Leftrightarrow |S| \leq |N_G(S)| \forall S \subseteq X$. Proof. (1/2)
 - (⇒) Let *M* = {(*x_i*, *y_i*)| *x_i* ∈ *X*, *y_i* ∈ *Y*} be a matching of *G* which saturates every *x_i* ∈ *X* ⇒ all *y_i* are distinct

$$\therefore \forall S \subseteq X, N_G(S) \supseteq \{y_i | \forall x_i \in S\}$$

$$\Rightarrow \forall S \subseteq X, |N_G(S)| \ge |S|$$

- Theorem 5.1: (Hall's theorem) Let G = (X, Y, E) be a bipartite graph. Then *G* contains a matching *M* that $|M| = |X| \Leftrightarrow |S| \leq |N_G(S)| \forall S \subseteq X$. **Proof.** (2/2)
 - (\Leftarrow) Suppose *M* is a maximum matching in *G*.

Construct a digraph *D*: $V(D) = V(G) \cup \{x, y\}$ $E(D) = \{(x, x_i) | x_i \in X\} \cup \{(x_i, y_i) : x_i \in X, y_i \in Y, x_i y_i \in E(G)\} \cup \{(y_i, y) | y_i \in Y\}$ $\zeta_D(x, y) = |M|$. Let *T* be a minimum (x, y)-separating set of *D*. By Menger's theorem, $|M| = \zeta_D(x, y) = \kappa_D(x y) = |T|$ X Y Let $T_1 = T \cap X$, $T_2 = T \cap Y$. $\Rightarrow E_D(X \setminus T_1, Y \setminus T_2) = \phi$ $\Rightarrow N_D^+(X \setminus T_1) \subseteq T_2$ \Rightarrow $|M| = |T| = |T_1| + |T_2|$ $\geq |T_1| + |N_D^+(X \setminus T_1)|$ $= |T_1| + |N_G(X \setminus T_1)| \ge |T_1| + |X \setminus T_1| = |X|$ (c) Spring 2016 Justie Su-Tzu Juan

<u>Def</u>: $F = (A_1, A_2, ..., A_n)$ is a family of sets. An **SDR** of *F* is a sequence $(a_1, a_2, ..., a_n)$ of distinct elements, such that $a_i \in A_i$, $\forall 1 \le i \le n$. (SDR 是 System of Distinct Representires)

P. Hall's Theorem:

 $F = (A_1, A_2, ..., A_n) \text{ has an SDR } \Leftrightarrow |\cup_{i \in I} A_i| \ge |I|, \forall I \subset \{1, 2, ..., n\}.(\clubsuit)$ Proof. (1/3) (।)

(⇒) Suppose $(a_1, ..., a_n)$ is an SDR of *F*,

then $\forall I \subset \{1, 2, ..., n\}$: $|\bigcup_{i \in I} A_i| \ge |\bigcup_{i \in I} \{a_i\}| = |I|$.

P. Hall's Theorem:

 $F = (A_1, A_2, ..., A_n) \text{ has an SDR} \Leftrightarrow |\bigcup_{i \in I} A_i| \ge |I|, \forall I \subset \{1, 2, ..., n\}.(\clubsuit)$ Proof. (2/3)

(⇐) We may assume that F is a minimal family s.t. Hall's condition(♣) holds.

<u>claim</u>: $|A_i| = 1, \forall i = 1, 2, ..., n$.

(Then $A_i = \{a_i\}$ and $(a_1, ..., a_n)$ is the desired SDR) Assume $\exists |A_j| \ge 2$, say $|A_1| \ge 2$, choose $x \ne y$ in A_1 . Consider $F_x = (A_1 - \{x\}, A_2, ..., A_n)$, $F_y = (A_1 - \{y\}, A_2, ..., A_n)$.

P. Hall's Theorem:

 $F = (A_1, A_2, ..., A_n) \text{ has an SDR} \Leftrightarrow |\bigcup_{i \in I} A_i| \ge |I|, \forall I \subset \{1, 2, ..., n\}.(\clubsuit)$ Proof. (3/3)

 $\therefore F \text{ is } \underline{\text{minimal}}, \therefore F_x, F_y \text{ does not satisfy } (\clubsuit).$ i.e. $\exists I, J \subseteq \{2, 3, ..., n\}$ s.t. $C = (\bigcup_{i \in I} A_i) \cup (A_1 - \{x\}),$ $D = (\bigcup_{i \in J} A_i) \cup (A_1 - \{y\})$ $\rightarrow |C| < |I| + 1, |D| < |J| + 1 \Rightarrow |C| \le |I|, |D| \le |J|.$ $\therefore (\bigcup_{i \in I} A_i) \cap (\bigcup_{j \in J} A_j) \supseteq \bigcup_{i \in I \cap J} A_i,$ $C \cup D = \bigcup_{i \in I \cup J \cup \{1\}} A_i,$ $|I| + |J| \ge |C| + |D| = |C \cap D| + |C \cup D|$ $\ge |I \cap J| + |I \cup J \cup \{1\}|$ $= |I| + |J| + 1. \rightarrow \leftarrow$

- **Algorithm:** Maximum Matching Algorithm for G = (X, Y, E) $(0) M \leftarrow \phi;$ (1.0) Given label " ϕ " to all *M*-unsaturated vertex in *X*; (1.1) If \exists no unscanned labels then STOP, otherwise find a vertex *i* with unscanned label; If $i \in X$ then goto (1.2), otherwise goto (1.3); (1.2) Scan $i \in X$ by: \forall edge $ij \in E$ with j has no label, label *j* by "*i*"; Goto (1.1); (1.3) Scan $i \in Y$ by: if i is exposed then goto (2), otherwise identify the unique $ij \in M$, label j by "i"; Goto (1.1); (2) Find P: $(i) - (j) - (j) - (i); M \leftarrow M \oplus P;$ **Remove all labels; Goto (1.0);**
- Time-Complexity for Max. Matching Algorithm for bipartite graph: $O(|V| \cdot |E|)$ $= O(|V|^3).$ (c) Spring 2016 Justie Su-Tzu Juan 8



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<u>Def</u>: *C* is a **vertex-covering** of G = (V, E)if $C \subseteq V$ and every edge $xy \in E$ either $x \in C$ or $y \in C$.

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Thm: (Weak Duality Inequality, w.d.i.)max |M| \le min |C|Proof. (1/2)\forall matching M; \forall vertex cover C;Define f: M \rightarrow C by f(xy) = \int x, if x \in C,y, o.w..① well-define:
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If $x \notin C$ then C is vertex cover.

: by definition, $y \in C$. (o.w. $xy \in E, x \notin C$ and $y \notin C$)

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Thm: (Weak Duality Inequality, w.d.i.)<br/>max |M| \le min |C|Proof. (2/2)② 1-1:<br/>If f(xy) = f(x'y'), but xy \ne x'y' in M,<br/>then \exists two different edges in M have a common end vertex.<br/>\rightarrow \leftarrow to M is a matching<br/>\therefore f is 1-1.<br/>Hence |M| \le |C|, \therefore max|M| \le min|C|.
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<justify Max. Matching Algorithm>

Assume M^* is the final output M, and L^* is the set of all labeled vertices at final iteration.

Let $C^* = (X - L^*) \cup (Y \cap L^*)$

<u>claim ①: M^* is a matching.</u>

<u>claim @: C^* is a vertex cover.</u>

 $\underline{\text{claim } \textcircled{3}}: |C^*| \leq |M^*|$

Then $|C^*| \le |M^*| \le \max|M| \le \min|C| \le |C^*|$,

 \Rightarrow **①**' M^* is a max matching.

 $\textcircled{O}' C^*$ is a min vertex cover.

 $\Im' \max_M |M| = \min_C |C|.$

Proof of claim. (1/2) (略) $\bigcirc M^*$ is a matching by (0) and (2) $\textcircled{0} \forall xy \in E, x \in X, y \in Y.$ Suppose $x \notin C^*$, $y \notin C^*$ $\Rightarrow x \in L, y \notin L$ when we scan the labeled vertex x, we MUST labeled *y* in (1.2). \Rightarrow C^{*} is a vertex cover. [::是最後一次iteration, ::只會在(1.1)~(1.3)跑,不會到(2)] Define $f: C^* \rightarrow M^*$ by f(x) = the edge in M^* incident to x.

Proof of claim. (2/2) Define $f: C^* \rightarrow M^*$ by f(x) = the edge in M^* incident to x. (a) well-define: M^* is a matching $\mathbb{P}(\bigstar)$, \exists ! edge incident to x. (b) <u>1-1</u>: Suppose f(x) = f(y) = e, i.e. e = xy with $x \in X, y \in Y$ when we scan y, we MUST label x by "y" $\rightarrow -$ in (1.3) otherwise. $\Rightarrow |C^*| \leq |M^*|$

Then **①'**, **②'**, **③'** holds.

<u>Corollary 5.1.1</u>: G = (X, Y, E) is a bipartite graph.

G has a perfect matching $\Leftrightarrow |X| = |Y|$ and $|S| \le |N_G(S)| \forall S \subseteq X$ or *Y*.

Corollary 5.1.2: If G is a k-regular bipartite graph with k > 0, then G has a perfect matching.

Proof.

Let G = (X, Y, E) be a k-regular bipartite graph. (1) $\therefore k|X| = k|Y| = |E|$. $\therefore |X| = |Y|$ (2) Let $S \subseteq X$, let $E_1 = \{xy | x \in S \text{ and } xy \in E\}$ let $E_2 = \{xy | y \in N_G(S) \text{ and } xy \in E\}$ $\Rightarrow k|S| = |E_1| \le |E_2| = k|N_G(S)|$ $\Rightarrow |S| \le |N_G(S)|$ \therefore By (1) (2) and <u>Hall's theorem</u>, *M* is a perfect matching in *G*. (Corollary 5.1.1)

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<u>Corollary 5.1.3</u>: Let G be an equally bipartite simple graph of order 2n. $\delta(G) \ge n/2 \Rightarrow G$ has a perfect matching.

Proof.

Let G = (X, Y, E) be an equally bipartite simple graph. Suppose $\exists S \subseteq X$ s.t. $|S| > |N_G(S)|$ $\therefore |X| = |Y| \quad \therefore Y \setminus N_G(S) \neq \phi$ $\therefore G$ is simple $\therefore |S| > |N_G(S)| \ge \delta(G) \ge n/2$ Let $u \in Y \setminus N_G(S)$, then $N_G(u) \subseteq X \setminus S$ $\Rightarrow \delta(G) \le d_G(u) = |N_G(u)| \le |X| - |S| < n/2 \rightarrow \leftarrow$ $\therefore \forall S \subseteq X, |S| \le |N_G(S)|$ By Hall's theorem, and $|X| = |Y| \quad \therefore G$ has a perfect matching.

Def:

- A component of a graph G is odd or even iff it has an odd or even number of vertices.
- Denote by o(G) the number of odd components of G.

<u>Theorem 5.2</u>: (Tutte's theorem) A graph *G* has a perfect matching $\Leftrightarrow o(G - S) \le |S|, \forall S \subseteq V(G)$





... G having no perfect matching.

Corollary 5.2.1: Every k-regular (k - 1)-edge connected graph of even order has a perfect matching ∀ k ≥ 1.
Proof. (1/2) (略)
Let G be a k-regular (k - 1)-dege connected graph of even order.

If k = 1, the result holds clearly. Suppose $k \ge 2$, let $S \subseteq V(G)$ and $S \ne V(G)$ 1. If $S = \phi$, \therefore *G* is even order, $\therefore o(G - S) = 0 \le 0 = |S|$. 2. If $S \ne \phi$, let $G_1, G_2, ..., G_n$ be all odd components of G - S, and let $m_i = |(V(G_i), S)|, v_i = |V(G_i)|$. $\therefore \lambda(G) \ge k - 1, \therefore m_i \ge k - 1 \forall i = 1, 2, ..., n$ If $\exists i (1 \le i \le n)$ s.t. $m_i = k - 1$, then $\varepsilon(G_i) = (kv_i - k + 1)/2 = k(v_i - 1)/2 + 1/2 \notin \mathbb{Z} \rightarrow \leftarrow$ $\Rightarrow m_i \ge k \forall i = 1, 2, ..., n$

Corollary 5.2.1: Every *k*-regular (k - 1)-edge connected graph of even order has a perfect matching $\forall k \ge 1$.

Proof. (2/2)

Suppose $k \ge 2$, let $S \subseteq V(G)$ and $S \ne V(G)$ 2. If $S \ne \phi$, let $G_1, G_2, ..., G_n$ be all odd components of G - S, and let $m_i = |(V(G_i), S)|, v_i = |V(G_i)|.$ $\Rightarrow m_i \ge k \forall i = 1, 2, ..., n$ $\Rightarrow o(G - S) = n \le \frac{1}{k} \sum_{i=1}^n m_i \le \frac{1}{k} \sum_{u \in S} d_G(u) = |S|.$ By Tutte's theorem \therefore G has a perfect matching.

- **Corollary 5.2.2: Every 2-edge connected and 3-regular graph has a perfect matching.**
- <u>Note</u>: Hall's theorem ⇔ Menger's theorem ⇔ Tutte's theorem ⇔ König's theorem

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- **<u>Def</u>:** *G*: a loopless graph.
 - ① $K \neq \phi \subseteq V(G)$ is a (vertex-) covering of G if $\forall e \in E(G), \exists x \in K$ s.t. x is an end-vertex of e.
 - ② A covering *K* is minimum if $|K| \le |K'|$ ∀ any covering *K'* of *G*.
 - ③ A covering *K* is minimal if $K \setminus \{x\}$ is not a covering of $G, \forall x \in K$.
 - **④** The covering number of G, $\beta(G) = |\{x | x \in K, K \text{ is a minimum covering}\}|$
 - **⑤** The matching number of *G*, $\alpha'(G) = |\{e | e \in M, M \text{ is a maximum matching}\}|$



- <u>**Remark</u>: \forall loopless graph G, \alpha'(G) \leq \beta(G).**</u>
- Theorem 5.3: (König's theorem) \forall bipartite graph G, $\alpha'(G) = \beta(G)$. Proof. (略)

By remark, we need to only prove $\alpha'(G) \ge \beta(G)$.

Let $\{X, Y\}$ be a bipartition of G, K is a minimum covering of G.

Let
$$S = K \cap X$$
, $T = K \cap Y$, $S' = X \setminus S$, $T' = Y \setminus T$

By definition of *S*, $[S', T'] = \phi$.

Consider $H = G[S \cup T']$, $\therefore K$ is minimum $\therefore \forall R \subseteq S, |R| \leq |N_H(R)|$

 \Rightarrow By Hall's theorem, *H* has a matching M_1 saturating *S*.

Similarly, $G[S' \cup T]$ has a matching M_2 saturating T.

 \Rightarrow $M_1 \cup M_2$ is a matching of G and $M_1 \cap M_2 = \phi$.

 $\therefore \beta(G) = |K| = |S| + |T| = |M_1| + |M_2| = |M_1 \cup M_2| \le \alpha'(G)$



Y

X

Theorem 5.3: (König's theorem) \forall bipartite graph G, $\alpha'(G) = \beta(G)$.

<u>Corollary 5.3</u>: Let G be an equally bipartite simple graph of order 2n,

$$\varepsilon > (k-1)n$$
 for $k \ge 1 \Longrightarrow \alpha'(G) = \beta(G) \ge k$.

Proof.

By König's theorem, need to only prove $\beta(G) \ge k$. \therefore *G* is simple and equally bipartite, $\therefore \forall x \in V(G), d_G(x) \le n$. Suppose $\beta(G) \le k - 1$, then $(k - 1) \cdot n < \varepsilon(G)$ $\le \beta(G) \cdot \Delta$ $\le \beta(G) \cdot n$

 $\leq (k-1) \cdot n \quad \rightarrow \leftarrow$

 $\therefore \beta(G) \ge k.$

•<u>Corollary 5.1.1</u>: G = (X, Y, E) is a bipartite graph.

G has a perfect matching $\Leftrightarrow |X| = |Y|$ and $|S| \le |N_G(S)| \forall S \subseteq X$ or *Y*.

• <u>Example 5.1.1</u>: It is impossible, using 1 × 2 rectangles, to exactly cover an 4 × 4 square from which two opposite 1 × 1 corner squares have been removed. Sol.

Construct a simple graph *G* **as:**



The problem can be reduced to proving that G has no perfect matching.

- \Rightarrow *G* is a bipartite graph with *X* = {1, 3, 4, 6, 9, 11, 12, 14}, *Y* = {2, 5, 7, 8, 10, 13}.
- : and |X| = 8 > 6 = |Y|,
- ... *G* has no perfect matching by <u>Corollary 5.1.1</u>.

- Example 5.1.2: skip
- 課本附<u>Hall's Thm</u>, <u>Tutte's Thm</u> 及 <u>König's Thm</u>的直接證明, 請參考。
- Note: Exercise 5.1.4, 5.1.5 are Hall's Thm 的變形

Chapter 5 Matchings and Independent Sets

§ 5.2 Independent Sets

- **<u>Def</u>:** *G*: a loopless graph.
 - *I* ≠ $\phi \subseteq V(G)$ is called an independent set of *G* iff $\forall x, y \in I, xy \notin E(G)$
 - *I* is called maximum if \forall independent set *I* of *G*, *|I*| ≥ |*I*'|
 - *I* is called maximal if $\forall x \in V(G) \setminus I, I \cup \{x\}$ is not an independent set.
 - The independent number of G, $\alpha(G) \equiv |\{x | x \in I, I \text{ is a maximum indep. set}\}|$

<u>Note</u>: Only discuss simple undirected graph.

ex: (a)

(a) maximal independent set & maximum independent set (b) $\alpha(K_n) = 1$, $\alpha(C_{2n}) = n = \alpha(C_{2n+1})$ (or $\alpha(C_n) = \lfloor n/2 \rfloor$) $\alpha(K_{m,n}) = \max\{m, n\}$ (c) Spring 2016 Justie Su-Tzu Juan

<u>Theorem 5.4</u>: $I \subseteq V(G)$ is an independent set of a loopless graph $G \Leftrightarrow V(G) \setminus I$ is a covering of G.

Proof.

I is an independent set of *G* $\Leftrightarrow \forall x, y \in I, xy \notin E(G)$ $\Leftrightarrow \forall xy \in E(G), x \notin I \text{ or } y \notin I$ $\Leftrightarrow \forall xy \in E(G), x \in V(G) \setminus I \text{ or } y \in V(G) \setminus I$ $\Leftrightarrow V(G) \setminus I \text{ is a covering of } G.$

- <u>Corollary 5.4.1</u>: $I \subseteq V(G)$ is a maximal (maximum) independent set of $G \Leftrightarrow V(G) \setminus I$ is a minimal (minimum) covering of G.
- <u>Corollary 5.4.2</u>: \forall loopless graph G, $\alpha(G) + \beta(G) = \nu(G)$

Def:

- $L \subseteq E(G)$ is called an edge-covering of G iff

 $\forall x \in V(G), \exists e \in L \text{ s.t. } x \text{ is an end-vertex of } e.$

- The edge-covering number of G, $\beta'(G) = |\{e | e \in L, L \text{ is a minimum edge-}$

covering of *G*}|

<u>Note</u>: G has an edge-covering \Leftrightarrow G contains no isolated vertex

ex: $\beta'(K_n) = \lceil n/2 \rceil$, $\beta'(C_n) = \lceil n/2 \rceil$, $\beta'(K_{m,n}) = \max\{m, n\}.$

Theorem 5.5: \forall graph *G* with $\delta(G) > 0$, $\alpha'(G) + \beta'(G) = \nu(G)$. Proof. (1/2)(略) **①** Let *M* be a maximum matching of *G* and U be the set of M-unsaturated vertices of G. $\therefore \delta(G) > 0,$ $\therefore \forall x \in U, \exists e_x \text{ s.t. } x \text{ incident to } e_x$ М Let $E' = \{e_x | \forall x \in U\}$ \Rightarrow $M \cup E'$ is an edge-covering of G. $\therefore \alpha' + \beta' \leq |M| + |M \cup E'|$ $= \alpha' + [\alpha' + (\nu - 2\alpha')]$ = v

Theorem 5.5: \forall graph *G* with $\delta(G) > 0$, $\alpha'(G) + \beta'(G) = \nu(G)$. **Proof.** (2/2)② Let *L* be a minimum edge-covering of *G*, Let H = G[L], Let *M* be a maximum matching of *H* and Let U be the set of M-unsaturated vertices in H. H[U] has no edge, $\therefore |L| - |M| = |L \setminus M|$ $\geq |U|$ = v - 2|M| $\Rightarrow \alpha' + \beta' \ge |L| + |M| \ge v$ By ① ②, α'(G) + β'(G) = ν(G).

<u>Theorem 1.9</u>: *G*: a simple undirected graph of $v \ge 3$.

 $d_G(x) + d_G(y) \ge v$, $\forall x, y \in V(G), xy \notin E(G) \Rightarrow G$ is hamiltonian

- **<u>Corollary 5.5</u>**: \forall bipartite graph with $\delta(G) > 0$, $\alpha(G) = \beta'(G)$
- <u>Note</u>: If *G* is nonempty, $\alpha'(G) = \alpha(L(G))$

<u>Theorem 5.6</u>: *G*: simple undirected graph $\forall x, y \in V(G), xy \notin E(G), d_G(x) + d_G(y) \ge v \Longrightarrow \alpha(G) \le \kappa(G)$

<u>Corollary 5.6</u>: *G*: simple graph with $\delta(G) \ge \nu/2 \Rightarrow \alpha(G) \le \kappa(G)$

- <u>Theorem 5.7</u>: *G*: simple undirected graph of order $\nu \ge 3$. $\alpha(G) \le \kappa(G) \Rightarrow G$ is hamiltonian.
- Note: <u>Theorem 5.6</u> + <u>5.7</u> \Rightarrow <u>Theorem 1.9</u>

Def:

- *S* ≠ $\phi \subseteq V(G)$ is called a **dominating set** of *G* ⇔
 - $\forall x \in V(G) \backslash S, \exists y \in S \text{ s.t. } xy \in E(G).$
- S is called to be minimal if $\forall S' \subseteq S, S'$ is not a dominating set.
- The domination number of G, $\gamma(G) \equiv \min\{|S|: S \text{ is a dominating set of } G\}$

Note: ① *S* is a minimal dominating set of $G \Rightarrow V(G) \setminus S$ is a dominating set $(\delta(G) > 0)$ ② $\gamma(G) \le (1/2)\nu(G)$

③ An independent set *S* of *G* is a dominating set of $G \Leftrightarrow$

S is a maximal independent set.

 $\textcircled{4} \alpha(G) \geq \gamma(G)$







not dom. set

an ind. set and dom. set

an ind. set but not dom. set

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